

### 3) Quasi-conformal surgery

Holomorphic maps are quite rigid: by analytic continuation, the map is determined on its whole domain of definition (if connected) by the values it takes on a small open subsets (or just a set with an accumulation point).

In particular, holomorphic change of coordinates are quite rigid too, think of  $\text{Aut}(\hat{\mathbb{C}}) \cong \text{G}(3)$ , where an element is completely determined by the value it takes in three points.

On the other hand, homeomorphisms, or even  $C^\infty$  diffeomorphisms, are quite flexible, and in general, the conjugation by this kind of maps does not preserve the class of holomorphic maps.

Quasi-conformal maps provide the right setting: they are flexible enough to allow surgery, and forge new dynamical systems with properties in some way combining given dynamical systems, and rigid enough that we can control when, conjugating a holomorphic map with a quasi-conformal automorphism, we get another holomorphic map.

Or more generally, in an open  $U \subset X$  Riemann Surface

Let now  $f$  be a  $C^1$  homeomorphism defined in a region in  $\mathbb{C}^Y$ .

Recall that, setting  $z = x + iy$ ,  $\frac{\partial f}{\partial z} = \frac{1}{2} \left( \frac{\partial f}{\partial x} - i \frac{\partial f}{\partial y} \right)$ ;  $\frac{\partial f}{\partial \bar{z}} = \frac{1}{2} \left( \frac{\partial f}{\partial x} + i \frac{\partial f}{\partial y} \right)$

The differential  $df$  can be written in these coordinates as  $df = f_z dz + f_{\bar{z}} d\bar{z}$

and the Jacobian is:  $J_f = |f_z|^2 - |f_{\bar{z}}|^2$ ,

In particular,  $f$  preserves the orientation if and only if  $|f_z| > |f_{\bar{z}}|$ .

We will assume this is the case from now on.

Given  $f$  as above, we may define:

$\mu_f := \frac{f_{\bar{z}}}{f_z}$ , called Beltrami coefficient. (or complex dilatation of  $f$ )

Notice that  $|\mu_f| < 1$  in our assumption.

We are also assuming  $f_z \neq 0 \forall p \in U$  <sup>domain</sup>; if not, we would have

$f_z(p) = f_{\bar{z}}(p) = 0$ , and  $f$  is not locally invertible at  $p$ .

The associated equation  $f_{\bar{z}} = \mu f_z$  is called the Beltrami equation (associated to the coefficient  $\mu$ ).

Notice that  $\mu_f \equiv 0 \Leftrightarrow f_{\bar{z}} \equiv 0 \Leftrightarrow f$  is holomorphic.

We know that a holomorphic injective map is exactly a conformal map, i.e., it preserves infinitesimal angles (i.e., the differential  $df$  preserves angle on the tangent space (at 0)).

Analogously,  $f$  preserves infinitesimal circles.   
 (in  $U \subset \mathbb{C} \times$  Riemann Surface)

Def: let  $U \subset \mathbb{C}$  be a (open connected) domain, and  $f: U \rightarrow \mathbb{C}$  a  $C^1$  <sup>map and homeo.</sup>

$f$  is called  $k$ -quasiconformal, with  $k \in [0, 1)$ , constant, if its Beltrami coefficient  $\mu_f$  is bounded by  $k: \|\mu_f\| \leq k$ .

Denote the set of  $k$ -quasiconformal maps  $QC(k, U)$ .

This definition can be generalised by only asking that boundaries exist as distributions,  $\mu$  is measurable,  $L^\infty$ , and  $\|\mu\|_\infty < 1$ .

Quasiconformal maps on this setting need to be locally  $L^2$ . (and  $C^0$ ) <sup>homeos</sup>

As holomorphic maps send circles to circles, quasi-conformal maps

*infinitesimal*

send infinitesimal ellipses to circles (ellipses).

By direct computation, the preimage through  $df_p$  of circles of  $T_{pp} \mathbb{C}$

give ellipses of ratio of length of axes ~~not~~ depending only on  $\mu$ , and

given by  $k = \frac{1+|\mu|}{1-|\mu|} \geq 1$

In fact, circles of  $0 \in T_{pp} \mathbb{C}$  are given by  $w\bar{w} = r > 0$ , and through

the pull-back, using  $f\bar{z} = \mu\bar{z}$ , we get  $|fz|^2 (z + \mu\bar{z})(\bar{z} + \mu\bar{\bar{z}}) = r$

It follows when rewriting  $z + \mu\bar{z} = z(1 + \mu\frac{\bar{z}}{z})$ , we notice that (\*)

varies between  $1+|\mu|$  and  $1-|\mu|$ , from which we get the value of  $k$ .

Inverting this relation, we get  $|\mu| = \frac{k-1}{k+1}$

It is also possible to find the directions of the two principal axes,

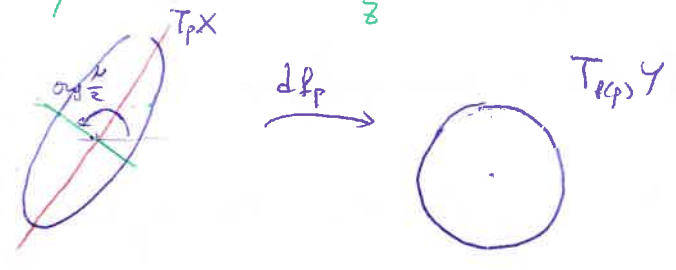
given by the arguments  $\frac{\pi}{2} + \arg(\frac{\mu}{z})$  and  $\arg(\frac{\mu}{z})$

$|z| = \frac{c}{1 \pm |\mu|}$

The equation is  $|z + \mu\bar{z}| = \text{const}$ . The max modulus is obtained when  $|z + \mu\bar{z}| = (1+|\mu|)|z|$ ;

$1 + \mu\frac{\bar{z}}{z} = 1 + |\mu| \Leftrightarrow \frac{\mu}{|\mu|} = e^{i\arg\mu} = \frac{z}{|z|} = e^{2i\arg z} \Rightarrow \arg z = \arg \frac{\mu}{2}$

$1 + \mu\frac{\bar{z}}{z} = 1 - |\mu| \Leftrightarrow -\frac{\mu}{|\mu|} = e^{i(\arg\mu + \pi)} = \frac{z}{|z|} = e^{2i\arg z} \Rightarrow \arg z = \frac{\pi}{2} + \arg \frac{\mu}{2}$



This picture comes for any point  $p \in U$ : given a Beltrami coefficient  $\mu$  ( $\mu$  measurable,  $\mu \in L^\infty(U)$ ,  $\|\mu\|_\infty < 1$ ), we may associate to almost every  $p \in U$  a "pencil" of ellipses in  $T_p U$ , given by the preimages through  $d\phi_p$  of circles in  $T_{\phi(p)} \mathbb{C}$ , which for what we saw are the ellipses of ratio of semi-axes given by  $\frac{1+|\mu|}{1-|\mu|}$  and semi-axes directions  $\frac{\pi}{2} + \arg \frac{\mu}{2}$  and  $\arg \frac{\mu}{2}$ .

This datum is called a "field of ellipses".

To sum up:

- A  $k$ -quasiconformal map  $f: X \rightarrow Y$  ( $X, Y$  Riemann surfaces) is a homeomorphism, almost everywhere  $C^1$ , (with distributional derivatives locally in  $L^2$ ) which admits a measurable Beltrami coefficient  $\mu = \frac{f_{\bar{z}}}{f_z}$  (defined almost everywhere),  $\mu \in L^\infty(X)$ ,  $\|\mu\|_\infty \leq k < 1$ .
- To this data is associated a field of ellipses on  $T.X$ .

We study now the behavior of quasi-conformal mappings under composition.

Prop: Let  $f, g$  be quasiconformal maps. Then,

$$(1) \quad \mu_{g \circ f} = \frac{\mu_f \cdot A_f + \mu_g \circ f}{A_f + \mu_g \circ f \cdot \bar{\mu}_f}, \quad A_f = \left( \frac{f_z}{|f_z|} \right)^2 \quad (|A_f| = 1)$$

In particular, if  $f$  is  $k$ -quasiconformal and  $h$  is holomorphic (conformal), we get:

$$(2) \quad \mu_{h \circ f}(z) = \mu_f(z), \quad (3) \quad \mu_{g \circ h}(z) = \mu_g \circ h(z) \cdot \frac{\overline{h'(z)}}{h'(z)}$$

and  $\Lambda = \frac{1}{\Lambda_f} \circ \partial \bar{\partial}$ . In particular, the composition of quasiconformal maps is still quasiconformal.

Corollary. If  $f$  and  $g$  are quasiconformal with the same Beltrami coefficient, then  $g = \Phi \circ f$ , with  $\Phi$  conformal.

Proof: Consider  $\Phi = g \circ f^{-1}$ . Since  $f$  is quasiconformal of Beltrami coefficient  $\mu = \mu_f$ ,  $df_f$  sends the field of ellipses defined by  $(\mu)_f$  to the field of circles. Then  $f^{-1}$  sends infinitesimal circles to the field of ellipses determined by  $\mu$ , which is sent by  $g$  to infinitesimal circles. Hence  $\Phi$  is holomorphic.  $\square$

Can be done also using the previous proposition:  $\mu_{g \circ f^{-1}} \circ f = -\mu_f \cdot \Lambda_f$ .

$$\mu_{g \circ f^{-1}} = \frac{\Lambda_f \cdot \mu_f + \mu_{g \circ f^{-1}} \circ f}{\Lambda_f + \bar{\mu}_f \cdot \mu_{g \circ f^{-1}} \circ f} = \frac{\Lambda_f \mu + \mu \cdot \Lambda_f}{\Lambda_f (1 - \mu \bar{\mu})} = 0.$$

We will focus on quasiconformal maps on  $\hat{\mathbb{C}}$ . In this case, if  $\mu$  is the Beltrami coefficient of two quasiconformal maps to  $\hat{\mathbb{C}}$ , then  $g \circ f^{-1} \in \text{Aut}(\hat{\mathbb{C}})$ .

In particular, the value of a quasiconformal map with given Beltrami coefficient is uniquely determined by fixing the values of  $0, 1, \infty$  (for example  $f(0)=0, f(1)=1, f(\infty)=\infty$ ). We denote this choice with  $\Phi_\mu$ , and refer to it as a "normalised" solution of the Beltrami equation.

The formulas expressed above suggest a different approach to Beltrami coefficients.

We can in fact consider Beltrami forms i.e.,  $(-1, 1)$ -forms written locally

as  $\mu(z) \cdot \frac{d\bar{z}}{dz}$ , with  $\mu(z) \in L^\infty$  and  $\|\mu\|_\infty < 1$ .

If  $z=h(w)$ ,  $h$  holomorphic then the pull back of this forms is:

Finally (4):  $\mu_{f^{-1}}(f(z)) = -\mu_f(z) \cdot A_f(z)$ .

Proof: by the chain rule, we have,

$$\mu_{g \circ f} = \frac{\frac{\partial g \circ f}{\partial z}}{\frac{\partial g \circ f}{\partial \bar{z}}} = \frac{\frac{\partial g}{\partial z} \circ f \cdot \frac{\partial f}{\partial z} + \frac{\partial g}{\partial \bar{z}} \circ f \cdot \frac{\partial \bar{f}}{\partial \bar{z}}}{\frac{\partial g}{\partial z} \circ f \cdot \frac{\partial f}{\partial \bar{z}} + \frac{\partial g}{\partial \bar{z}} \circ f \cdot \frac{\partial \bar{f}}{\partial z}} = \frac{\frac{\partial f}{\partial z} + \mu_g \circ f \cdot \frac{\partial \bar{f}}{\partial \bar{z}}}{\frac{\partial f}{\partial \bar{z}} + \mu_g \circ f \cdot \frac{\partial \bar{f}}{\partial z}} \quad (*)$$

In particular, if  $\mu_g = 0$ , we get  $\mu_{g \circ f}(z) = \mu_f(z)$ . (2)

In fact  $h$  sends infinitesimal circles to circles, so  $\mu_{h \circ f} = \mu_f$ .

Notice that  $\frac{\partial f}{\partial \bar{z}} = \overline{\left(\frac{\partial f}{\partial z}\right)}$ . By dividing numerator and denominator by  $\frac{\partial f}{\partial z}$  we

$$\text{get } \mu_{g \circ f} = \frac{\frac{\partial f}{\partial z} / \frac{\partial f}{\partial z} + \mu_g \circ f \cdot \frac{\partial \bar{f}}{\partial \bar{z}} / \frac{\partial f}{\partial z}}{\frac{\partial f}{\partial z} / \frac{\partial f}{\partial z} + \mu_g \circ f \cdot \frac{\partial \bar{f}}{\partial z} / \frac{\partial f}{\partial z}} = \frac{\mu_f \cdot \frac{\partial \bar{f}}{\partial \bar{z}} / \frac{\partial f}{\partial z} + \mu_g \circ f}{\frac{\partial f}{\partial z} / \frac{\partial f}{\partial z} + \mu_g \cdot \frac{\partial \bar{f}}{\partial z} / \frac{\partial f}{\partial z}} = \frac{\mu_f \cdot A_f + \mu_g \circ f}{A_f + \mu_g \circ f \cdot \mu_f}$$

$$\text{with } A_f = \frac{\partial f}{\partial z} / \frac{\partial \bar{f}}{\partial \bar{z}} = \frac{f_z}{f_{\bar{z}}} = \left(\frac{f_z}{|f'_z|}\right)^2$$

This gives (1). By applying this when  $\mu_f = 0$ , we get:

$$\mu_{g \circ f} = \frac{\mu_g \circ f}{A_f} \quad \text{with} \quad \frac{1}{A_f} = \frac{\partial \bar{f}}{\partial z} / \frac{\partial f}{\partial \bar{z}} = \frac{\overline{f'(z)}}{f'(z)}. \quad (3)$$

Finally, applying (1) for  $g = f^{-1}$ , we get  $0 = A_f \cdot \mu_f + \mu_{f^{-1}} \circ f$ , which gives (4). □

Rem: Notice in particular that if  $f$  is  $k$ -quasiconformal and  $h$  is holomorphic, then  $f^{-1}$ ,  $h \circ f$  and  $f \circ h$  are  $k$ -quasiconformal. In fact, the expression for  $\mu_{g \circ f}$  can be rewritten as  $\mu_{g \circ f} = \lambda \cdot \frac{z + \alpha}{1 + \bar{\alpha}z}$ , with  $z = \mu_g \circ f$ ,  $\alpha = A_f \cdot \mu_f \in \mathbb{D}$  (conformal)

$$\mu(h(w)) \cdot \frac{d\overline{h(w)}}{\partial h(w)} = \mu(h(w)) \cdot \frac{\overline{h'(w)}}{h'(w)} \cdot \frac{dw}{dw} = \mu_{f \circ h}(w) \cdot \frac{dw}{dw}$$

In particular, the pull-back of a Beltrami coefficient correspond to the pullback of a Beltrami form, seen as a  $(-1,1)$ -form.

Push forward and pull back.

The formulas above allow to define the pull-back of a Beltrami coefficient (or form, as explained above) through a holomorphic map.

In fact, if  $\mu$  is a Beltrami coefficient, ~~and~~ defined on some open domain  $U$ , and  $f: V \rightarrow U$  is a holomorphic map, then the pull back of  $\mu$  is defined by  $(f^* \mu)(z) = \mu(f(z)) \cdot \frac{\overline{f'(z)}}{f'(z)}$ .

This is well defined outside  $E(f)$ , which is a discrete set, hence of measure zero.   
 These formulas can be also used to define the pull back of a Beltrami form by a quasiconformal map.

When  $f: U \rightarrow W$  is a biholomorphism, we can define the pushforward of  $\mu$  as  $f_* \mu = (f^{-1})^* \mu$ .

The measurable Riemann mapping theorem (Ahlfors-Bers).

Theorem: let  $\mu$  be a Beltrami coefficient (or form) on  $\hat{\mathbb{C}}$ .

Then there exists a unique quasiconformal homeomorphism  $\Phi_\mu: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$  normalised (by  $\Phi_\mu(0) = 0, \Phi_\mu(1) = 1, \Phi_\mu(\infty) = \infty$ ), satisfying the Beltrami equation

$$\frac{\partial \Phi_\mu}{\partial \bar{z}} = \mu \cdot \frac{\partial \Phi_\mu}{\partial z} \quad (\text{almost everywhere, locally in } L^2 \text{ on the distributional sense})$$

Fact: if  $\mu, \frac{\partial \mu}{\partial \bar{z}}$  are  $C^1$ , then so is  $\mathbb{F}\mu$ .

Interpretation in terms of  $\mu$ -conformal structures.

Let  $X$  be a Riemann surface ~~and~~ and  $\mu$  be a Beltrami coefficient.  $\mu$  induces a structure of Riemann surface on  $X$ , denoted  $X[\mu]$ , that can be seen as a twisted Riemann surface structure. Its atlas  $\mathcal{A}(\mu)$  is given by all the maps  $\varphi: U \rightarrow \hat{\mathbb{C}}$  which are quasiconformal with Beltrami coefficient  $\mu$ . Since two quasiconformal maps with the same Beltrami coefficient differ by a biholomorphism, and local solutions exist by the measurable Riemann mapping theorem,  $\mathcal{A}(\mu)$  defines a Riemann surface structure.

Def: a map  $f: X \rightarrow \hat{\mathbb{C}}$  is  $\mu$ -analytic if  $f: X[\mu] \rightarrow \hat{\mathbb{C}}$  is analytic. If  $f$  is also an homeomorphism, we say that  $f$  is  $\mu$ -conformal.

Rem: TFAE:  $\varphi: X \rightarrow \hat{\mathbb{C}}$ .

- 1)  $\varphi$  is  $\mu$ -conformal ;
- 2)  $\varphi \in \mathcal{A}(\mu)$
- 3)  $\varphi: X[\mu] \rightarrow \hat{\mathbb{C}}$  is analytic.

More generally, we can consider  $X, Y$  domains ( $\subset \hat{\mathbb{C}}$ ), and

$f: X \rightarrow Y$  <sup>analytic</sup>. Consider  $\mu$  Beltrami coefficient in  $X$ ,  $\nu$  in  $Y$ .

Then:  $f: X[\mu] \rightarrow Y[\nu]$  is analytic  $\iff$

$$\nu(f(z)) = \frac{f'(z)}{f'(z)} - \mu(z) \quad \forall z \in X \iff f^* \nu = \mu \quad (\text{almost everywhere})$$

The proof is only a matter of unwrapping definitions.



Rational maps and quasiconformal conjugacy.

We now focus on rational maps  $f: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ . We ask when, by conjugating by a quasiconformal homeomorphism  $\Phi: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ , we end up with a holomorphic (hence rational) map  $\tilde{f} = \Phi \circ f \circ \Phi^{-1}: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ .

Proposition.  $f: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$  rational,  $\Phi: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$  quasiconformal of Beltrami coefficient  $\mu$ . Then  $\tilde{f} = \Phi \circ f \circ \Phi^{-1}$  is rational  $\iff$  if and only if:

$$\mu(\tilde{f}(z)) = \frac{\tilde{f}'(z)}{\tilde{f}(z)} \mu(z) \quad (\text{i.e., } \tilde{f}^* \mu = \mu). \quad \text{almost everywhere on } \hat{\mathbb{C}}.$$

In this case,  $\deg \tilde{f} = \deg f$ .

Proof: The condition  $\tilde{f}^* \mu = \mu$  is equivalent to  $\hat{\mathbb{C}}[\mu] \xrightarrow{\tilde{f}} \hat{\mathbb{C}}[\mu]$  being holomorphic.

Being  $\Phi$  a chart of  $\hat{\mathbb{C}}[\mu]$ , this is equivalent of having  $\Phi \circ f \circ \Phi^{-1}$  a holomorphic map on  $\hat{\mathbb{C}}$ .

The relation on the degree comes from the fact that, being  $\deg(f)$  counted as the number of preimages of a generic point, is a topological invariant of conjugacy.

This proposition allows to deform rational maps through quasiconformal conjugation.

# Quasiconformal surgery

A useful application of quasiconformal deformation is quasiconformal surgery

Def: a homeomorphism  $g: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$  is called quasiregular if it is the composition  $g = f \circ \Psi$  of  $f$  holomorphic and  $\Psi$  a quasiconformal homeomorphism.

Theorem: let  $g: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$  be quasiregular, and  $E \subset \hat{\mathbb{C}}$  an open such that

- $g(E) \subset E$
- $g$  is analytic on  $E$  and on  $\hat{\mathbb{C}} \setminus g^{-1}(E)$ .

Then there exists  $\Phi: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$  quasiconformal so that  $\Phi \circ g \circ \Phi^{-1}$  is rational.

Proof: Since  $g(E) \subset E$ , we have  $E \subset g^{-1}(E) \subset g^{-2}(E) \subset \dots$

Set  $E_0 = E$ ,  $E_1 = g^{-1}(E) \setminus E$ ,  $E_{k+1} = g^{-k}(E) \setminus g^{-k-1}(E)$ , ~~and~~ so that

$G \cup O(E) = \bigsqcup_{k \geq 0} E_k$ . We also set  $K = \hat{\mathbb{C}} \setminus G \cup O(E)$ .

We define a Beltrami coefficient  $\mu$  on  $\hat{\mathbb{C}}$  by setting  $\mu \equiv 0$  on  $E_0$ ,

$\mu = (g^n)^*$  (as Beltrami forms) on  $E_n$ , and again  $\mu \equiv 0$  on  $K$ . because  $g|_E$  is holomorphic

In formula:  $\mu = \mu \circ g$  on  $E_1$ , and recursively  $\mu(z) = \mu(g(z)) \cdot \left( \frac{g_z(z)}{g_{\bar{z}}(z)} \right)$   $\forall z \in E_n$

By Ahlfors-Bers theorem we get a  $\Phi$  normalized solution of the Beltrami equation associated to  $\mu$ .

To show that  $\Phi \circ g \circ \Phi^{-1}$  is analytical we must show that  $g^* \mu = \mu$  as

Beltrami forms. But this holds by construction. □